BEFORE THE VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD OF THE STATE OF CALIFORNIA

In the Matter of the Claim of:

Proposed Decision

David Stubblefield (Penal Code § 4900 et seq.)

Introduction

With David Stubblefield's agreement, this claim for compensation as an erroneously convicted person was decided based on the written record by considering all the evidence submitted to date and without the necessity of a telephonic or in-person hearing. Kyle Hedum was assigned to hear this matter by the Executive Officer of the California Victim Compensation and Government Claims Board. The California Department of Justice, Office of the Attorney General (AG), was represented by Michael Farrell.

After considering all the evidence, it is determined that Stubblefield has not proven by a preponderance of the evidence that the crime with which he was charged was not committed and that he did not, by any act or omission on his part, intentionally contribute to the bringing about of his arrest or conviction for the crime. Therefore, it is recommended that Stubblefield's claim for compensation pursuant to Penal Code section 4900 et seq. be denied.

Background

According to the Los Angeles Police Department crime report,¹ on May 29, 2009, at approximately 3:00 p.m., Stubblefield got into an argument with his roommate, James Parlee. Stubblefield then left the residence. Later that day, Stubblefield returned to the residence with a

¹ AG Exhibit 2.

 machete and he and Parlee struggled, which resulted in injuries to Parlee's hands.² Parlee was able to take the machete from Stubblefield, but Stubblefield then pulled a hook knife from his back pocket and attempted to strike Parlee. Parlee put Stubblefield in a bear hug, but Stubblefield was still able to strike Parlee with the knife several times on his back and head. Stubblefield dropped the hook knife and fled. Responding officers saw that Parlee had scratches on his back and cuts to his head and hands.

On June 16, 2009, Stubblefield was arrested. He told the police that he was angry at the home's owner for accusing him of clogging a drain. According to Stubblefield, Parlee attacked him and put him in a choke hold and slammed his head into a sink. Stubblefield then left to go to an appointment. When he returned hours later, Parlee saw him and made a "gun sign." Stubblefield also knew Parlee to carry a knife. As Stubblefield came up the carport, he saw Parlee reach behind his back, so Stubblefield grabbed a machete that was lying on the hood of a nearby van. The two men struggled, and Parlee took the machete away from Stubblefield. Stubblefield grabbed a tile knife and they fell to the ground. Parlee took the knife from Stubblefield and Stubblefield then left.

On December 10, 2009, Stubblefield pled no contest to a felony violation of Penal Code section 245(a)(1), for assaulting Parlee with the machete. Stubblefield also admitted that he suffered a prior first degree burglary "strike" conviction, and he was sentenced to four years state prison.³

Stubblefield was released from prison on June 24, 2012. He filed his claim on June 3, 2012, apparently while still serving time for his offense. His conviction has never been vacated or overturned.

Stubblefield's Written Testimony

Stubblefield submitted written testimony of the events surrounding his criminal conviction. He states that for several weeks prior to his arrest, Parlee, the witness Leslie, and several other males at the residence that Stubblefield and Parlee lived at had been making jokes at Stubblefield's expense and threatening him with violence. Parlee threatened to kill Stubblefield earlier in the day. Due to

² This information was provided to the police by Parlee and witness Eban Leslie.

³ AG Exhibit 3.

Stubblefield's experience in prison, he determined that he needed to stand up for himself to Parlee and the others so that the bad treatment would not escalate. In an attempt to stop the abuse, he picked up a machete and approached Parlee, holding it towards Parlee, but not in an aggressive manner. Parlee wrestled the machete away and grabbed Stubblefield. Stubblefield grabbed a carpet or tile knife and scratched Parlee in order to break free.

Stubblefield wanted to plead not guilty; however, at Parlee and Leslie's orders, no witness would testify in his favor. He claims that there are several discrepancies in the police report and the AG's recommendation because Parlee and Leslie lied to law enforcement, and required that all witnesses do so as well. Finally, Stubblefield argues that the only crime committed was the crime Parlee committed against him and he acted in self-defense.

Determination of Issues

Penal Code section 4903 establishes the requirements for a successful claim for those individuals who contend that they have been imprisoned as a result of an erroneous conviction. In order to be successful on such a claim, a claimant must prove the following by a preponderance of the evidence:

- (1) that the crime with which he was charged was either not committed at all, or, if committed, was not committed by him;
- (2) that he did not by any act or omission on his part, intentionally contribute to the bringing about of his or her arrest or conviction for the crime; and
- (3) that he sustained a pecuniary injury through his erroneous conviction and imprisonment.⁴

This is a demanding standard, and a claimant must prove his innocence by a preponderance of the evidence.⁵ Preponderance of the evidence means evidence that has more convincing force than that opposed to it.⁶

⁴ Pen. Code, § 4903; *Tennison v. Victim Compensation and Government Claims Board* (2006) 152 Cal. App. 4th 1164.

⁵ Diola v. State Board of Control (1982) 135 Cal.App.3d 580, 588 fn. 7.

⁶ People v. Miller (1916) 171 Cal. 649, 652; Diola, supra.

In reaching its determination of the merits of the claim, the Board may consider the claimant's mere denial of commission of the crime for which he was convicted, reversal of the judgment of conviction on appeal, acquittal of the claimant on retrial, or the failure of the prosecuting authority to retry the claimant for the crime. However, those factors will not be deemed sufficient evidence to warrant the Board's recommendation that a claimant be indemnified in the absence of substantial independent corroborating evidence that the claimant is innocent of the crime charged. The Board may also consider as substantive evidence testimony of witnesses that the claimant had an opportunity to cross-examine, and evidence to which the claimant had an opportunity to object, admitted in prior proceedings relating to the claimant and the crime with which he was charged. Finally, the Board may also consider any information that it may deem relevant to the issue before it.⁸

Because the purpose of these administrative hearings is to determine whether the claimant has met his burden of proving that he is innocent of the crime for which he was convicted and incarcerated, all relevant evidence is admissible, irrespective of whether it would be admitted at a criminal jury trial or in a civil or administrative proceeding, so long as the evidence is probative to the claimant's assertion that he is innocent.⁹ The formal hearing rules of the Administrative Procedures Act are not applicable.¹⁰

If a claimant meets his burden of proof, the Board shall recommend to the legislature that an appropriation of \$100.00 be made for each day of incarceration in prison served subsequent to the claimant's conviction.¹¹

Stubblefield presented documentary evidence in support of his belief that he has proven by a preponderance of the evidence that he is factually innocent of the crimes for which he was convicted,

⁷ Cal. Code Regs., tit. 2, § 641; *Tennison v. Victim Compensation and Government Claims Board* (2006) 152 Cal. App. 4th 1164.

⁸ Cal. Code Regs., tit. 2, § 641.

⁹ Cal. Code Regs., tit. 2, § 641.

¹⁰ Cal. Code Regs., tit. 2, § 615.1.

¹¹ Pen. Code, § 4904.

but he fails to prove two of the elements. First, Stubblefield fails to prove that he did not commit a crime. He argued that he only acted in self-defense but offers no additional evidence other than his own self-serving statements. Moreover, the only third-party witness to the incident corroborates the victim's assertion that Stubblefield was the weapon-wielding aggressor. Lastly, there continues to be a finding beyond a reasonable doubt that Stubblefield committed the crime because his conviction is still valid.

Second, Stubblefield fails to prove that he did not contribute to his conviction when he pled guilty to the offense. He argues that he was "forced" to plead guilty because he was facing a more substantial prison sentence if later convicted at trial. But nearly everyone who pleads guilty does so in order to avoid a longer prison sentence. Avoidance of a longer prison sentence may be a motivator to plead, but it does not mean the plea was forced. The judge specifically found the plea to be voluntary, stating, "The Court finds that defendant has knowingly, expressly, and intelligently waived his constitutional rights as it relates to the substantive offense before the court and the prior. That he's freely and voluntarily entered his plea and his admission to the prior."

Stubblefield's claim for compensation as an erroneously convicted person is denied because he has not offered any credible evidence that he did not commit the crime for which he was sentenced. His claim is also denied because he has not provided sufficient evidence to prove that he did not by any act or omission on his part, intentionally contribute to the bringing about of his arrest or conviction for the crime.

Date: July 24, 2013

Kyle/Hedum Hearing Officer

Călifornia Victim Compensation and

Government Claims Board

¹² AG Exhibit, Reporter's Transcript p. 9.